

10/500246

DT04 Rec'd PCT/PTO 25 JUN 2004

PATENT

4924-0102PUS1

#2

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Jun WATANABE et al.  
Appl. No.: NEW Group:  
Filed: June 25, 2004 Examiner:  
For: PROCESS FOR CONTINUOUS PRODUCTION OF  $\epsilon$ -  
CAPROLACTONE POLYMER

INFORMATION DISCLOSURE STATEMENT  
(SUBMISSION CONCURRENT WITH THE  
FILING OF A NEW PATENT APPLICATION)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

June 25, 2004

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- a. ☐ This application was filed before June 30, 2003. Accordingly, submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. ☐ This application was filed on or after June 30, 2003. Accordingly, copies of cited US patents and patent application publications therefore are not included. Copies of foreign patent documents and non-patent literature are included.

- c. ☒ This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should be forwarded from the International Search Authority. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)

- a. ☐ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The patents, publications, or other information listed on the attached PTO 1449 are in the English language and therefore, do not require a statement of relevancy.

- b. ☐ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

- c. ☒ **ENGLISH LANGUAGE SEARCH REPORT**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

- d. ☐ **OTHER**

The following additional information is provided for the Examiner's consideration.

10/500246

DT04 Rec PCT/PTO 25 JUN 2004

Docket No. 4924-0102PUS1

FEES

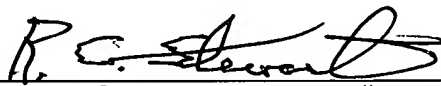
This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Raymond C. Stewart, #21,066

RCS/nl  
4924-0102PUS1

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Attachment(s): ☒ Form PTO-1449(s)  
☐ Documents  
☒ Foreign Search Report  
☐ Fee  
☐ Other: \_\_\_\_\_

(Rev. 02/12/2004)

|  |   |
|--|---|
| Form PTO-1449<br><br><b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | ATTY. DOCKET NO.<br>4924-0102PUS1<br><br>APPLICATION NO.<br>10W 500246<br><br>APPLICANT<br>Jun WATANABE et al.<br><br>FILING DATE<br>June 25, 2004<br><br>GROUP |
|--|---|

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | Kind | DATE       | NAME          | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----------------|------|------------|---------------|-------|--------------|-------------------------------|
|                     | US 5,889,127    | A    | 1999-03-30 | Yamada et al. |       |              |                               |
|                     | US              |      |            |               |       |              |                               |
|                     | US              |      |            |               |       |              |                               |
|                     | US              |      |            |               |       |              |                               |
|                     | US              |      |            |               |       |              |                               |
|                     | US              |      |            |               |       |              |                               |
|                     | US              |      |            |               |       |              |                               |
|                     | US              |      |            |               |       |              |                               |
|                     | US              |      |            |               |       |              |                               |
|                     | US              |      |            |               |       |              |                               |

**FOREIGN PATENT DOCUMENTS**

|  | Office | DOCUMENT<br>NUMBER | Kind | DATE       | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|--|--------|--------------------|------|------------|---------|-------|--------------|-------------|----|
|  |        |                    |      |            |         |       |              | YES         | NO |
|  | JP     | 10-195186          | A    | 1998-07-28 | JAPAN   |       |              | ISR         |    |
|  | EP     | 0916684            | A2   | 1999-05-19 | EP      |       |              | ISR         |    |
|  |        |                    |      |            |         |       |              |             |    |
|  |        |                    |      |            |         |       |              |             |    |
|  |        |                    |      |            |         |       |              |             |    |
|  |        |                    |      |            |         |       |              |             |    |
|  |        |                    |      |            |         |       |              |             |    |
|  |        |                    |      |            |         |       |              |             |    |

**OTHER DOCUMENTS** (Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.)

|  |
|--|
|  |
|  |
|  |
|  |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.